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Evaluation of Power-Constant Dual-Rail Logics Counter-Measures against DPA with Design-Time Security Metrics

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Abstract—Cryptographic circuits are nowadays subject to attacks that no longer focus on the algorithm but rather on its physical implementation. Attacks exploiting information leaked by the hardware implementation are called side-channel attacks (SCA). Amongst those attacks, the differential power analysis (DPA) established by Paul Kocher *et al.* in 1998 represents a serious threat for CMOS VLSI implementations. Different countermeasures that aim at reducing the information leaked by the power consumption have been published. Some of these countermeasures use sophisticated backend-level constraints to increase their strength.

As suggested by some preliminary works (*e.g.* by Huiyun Li from Cambridge University), the prediction of the actual security level of such countermeasures remains an open research area. This article tackles this issue on the example of the AES SubBytes primitive. Thirteen implementations of SubBytes, in unprotected, WDDL and SecLib logic styles with various backend-level arrangements are studied. Based on simulation and experimental results, we observe that static evaluations on extracted netlists are not relevant to classify variants of a counter-measure. Instead, we conclude that the fine-grain timing behavior is the main reason for security weaknesses. In this respect, we prove that SecLib, immune to early-evaluation problems, is much more resistant against DPA than WDDL.

1 INTRODUCTION

Side-channel attacks are techniques to extract keys or secret elements from cryptosystems otherwise unbreakable by cryptanalysis or brute force. The instant power dissipation of a device has been studied first because it corresponds to a practical scenario, especially for smartcards. Indeed, those embedded devices receive their power from the outside. A rogue reader can thus supply the card while recording the instant current drawn, typically with a fast acquisition card. Based on these measurements, so-called differential (DPA [1]) or correlation power analyses (CPA [2]), referred to as in the sequel by the same generic term "DPA", can be mounted. DPA exploits the coincidence of two properties that characterize every cryptographic algorithm. On the one hand, it is always possible to exhibit an internal variable dependent on a manageable subset (i.e. small, usually 6 or 8 bits) of the key and of the input or output data. On the other hand, in "high threshold voltage" technologies, CMOS gates consume only when toggling. Therefore the power consumption is directly proportional to the circuit's activity. The power consumption due to the internal variable activity can be extracted from the circuit power traces by correlation with a power model. The attacker makes guesses about the unknown key subset and for each of them computes the correlation function. The larger correlation will betray the correct key hypothesis. Any unprotected cryptographic implementation is thus vulnerable to DPA, because any use of the key bits leads to an information leakage in the power dissipation.

One way to protect a device from the DPA is to make its power consumption independent from the input data and key, by making it constant. This is the aim of the dual-rail with precharge logic (DPL [3]). This logic ensures by design a constant toggling rate irrespective of the data manipulated.

In dual-rail, every Boolean variable *a* is represented by a couple of two wires (a_0, a_1) ; when *a* is valid, $a = 0 \Leftrightarrow (a_0, a_1) = (1, 0)$ and $a = 1 \Leftrightarrow (a_0, a_1) = (0, 1)$. The convention to signal that *A* is not valid is $a_0 = a_1$. Every computation consists in one precharge (where *a* is invalid) followed by one evaluation (where *a* is valid). a_0 and a_1 are complementary. Whatever the input and key, exactly one and only one of (a_0, a_1) will toggle. The number of toggles is thus constant, so should be the overall power consumption.

Wave dynamic differential logic (WDDL [4]) and SecLib (Secured Library [5]) are two DPL solutions. WDDL is a DPL logic that makes use of the standard cell library.

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SecLib is another DPL logic that relies on customized balanced cells set that furthermore synchronize their inputs before evaluating.

In addition to comparing insecure logics with WDDL and SecLib, a second goal of this paper is to study further refinements of DPL logics consisting in balancing the layout. WDDL instances are separable: each gate is made up of two independent halves. Therefore, the two dual instances can be designed to have the same structure. They can also be constrained to be placed side-by-side. All DPL logics can be forced to have a balanced interconnection between them, and, on top of that, the wiring can be shielded. In MDPL [6], it has been suggested an alternative method to balance a netlist with a deus ex machina mechanism, consisting in randomly swapping the signification of a_0 and a_1 according to one single-bit mask. However, this protection can be defeated easily by a so-called PDF-attack [7]. This attack becomes all the more difficult as the netlist is already well balanced without any masking. This is the main focus for our work.

In order to compare logics styles and backend countermeasures, a chip called SubBytes has been realized. It embeds thirteen versions of the "SubBytes" function, the substitution box used in the AES algorithm [8]. Its purpose is to enable a comparative evaluation of the several implementations of the same combinatorial block.

The rest of the article is organized as follows. Section 2 presents the security features that are implemented in the SubBytes circuit. The section 3 gives conclusions about the expected security level using a static evaluation based on the layout study. Next, section 4 is dedicated to the dynamic evaluation based on actual experiments. In this section, the specifications of the ASIC floorplan, programming model and drivers are described and motivated; then, an experimental evaluation of each SubBytes module is carried out. The section 5 is a discussion about the relevance of design-time security metrics, the efficiency of WDDL *versus* SecLib, and the usefulness of backend-level counter-measures. Finally, section 6 draws the conclusions of the paper and opens further research perspectives.

2 PRESENTATION OF THE SECURITY FEA-TURES EMBEDDED INTO THE SUBBYTES CHIP

2.1 Thirteen versions of the AES SubBytes Combinatorial Function

For the realization of the SubBytes chip, four libraries of cells were assessed:

- 1) Standard cell (CORE9GPLL library from STMicroelectronics, version 4.1),
- Read-Only Memory ("ROM", generated by the STMicroelectronics Unicad tool – ugnLib),
- 3) WDDL [4] and enhanced-WDDL, based upon CORE9GPLL,



Fig. 1. The decode/permute/encode low-power and unprotected architecture for SubBytes.

4) SecLib [9], a custom secure quasi-delay independent (QDI) logic. SecLib is similar to the quasidelay insensitive logic presented in [10]: its structure is however optimized and it does not support the errors reporting capability.

The two first libraries (standard cells and ROM) are unprotected, and can thus constitute references for the security evaluation. The SubBytes chip embeds four unprotected instances with the following architectures:

- Standard cell, described in VHDL as look-up table [8, p. 16] (called stdcell_lut),
- Standard cell, factored in GF(16)², as suggested by Vincent Rijmen [11]-[13] (called stdcell_gf),
- Standard cell, in a decode/permute/encode architecture presented by Guido Bertoni [14], depicted in Fig. 1 (called stdcell_gb)¹,
- 4) **Layout-level generated** low-power contactprogrammable ROM.

The references [16] and [17] are comprehensive studies of the different hardware architectures of the AES SBox and will help the reader in having a complete overview of the SBox in any dimension: security, area and power dissipation.

The secured implementations, WDDL and SecLib, embedded in SubBytes both resort to DPL. The SecLib cells are part of a full-custom library [5]. The WDDL and SecLib gates we consider in the sequel contain only one- and two-input gates.

WDDL, illustrated on the example of an AND gate in Fig. 2, suffers from two identified weaknesses:

1) The two dual standard cells making up the WDDL gate are structurally different. They thus consume

^{1.} The work of Guido Bertoni *et al.* has been extended by Matteo Giaconia *et al.* in [15]. It yields an even more balanced design and thus achieves a still higher DPA resistance. However, at the date of the tape-out of the SubBytes circuit, we were not aware of this implementation, which explains it is not included into the ASIC.

Fig. 2. The WDDL "AND" functionality.



Fig. 3. The majority standard cell, called AO5NLL in STMicroelectronics library, can be specialized as two enhanced-WDDL cells implementing the *true* "AND" and "OR" functionalities.

slightly different amounts of power with different current signature.

2) Depending on the arrival order of its inputs, the gate activity occurs at different instants [18].

The first issue can be fixed, employing what we call "enhanced-WDDL" (or eWDDL for short) cells, based on the 3-input majority standard cell from the STMicroelectronics library: $(A, B, C) \rightarrow A \cdot B + B \cdot C + C \cdot A$. The schematic of the majority and of the two enhanced WDDL derived cells are given in Fig. 3. Those cells use the same architecture as MDPL [6], albeit with a constant hardwired mask.

The second issue of WDDL cannot be solved at the implementation-level, because it is fundamentally logical.

The figure 4 shows that both issues are definitely fixed in SecLib:

- 1) All evaluations activate the same number of indiscernible logic gates: one C-element [19] and two OR gates. This contrasts with WDDL with which either a AND or a OR gate is activated.
- 2) The head C-elements synchronize the signals, thus preventing the gate from evaluating early.

The logic underlined in gray in Fig. 4 is activated in the transition from precharge to evaluation and vice-versa.

The implementation-level variations amongst the secured cells are many-fold. They are defined and described in the list below:

- \mathcal{B} 1: identity of the dual gates,
- B2: differential placement,
- B3: differential routing,
- B4: differential dummies,
- B5: shield by global wires of each dual pair of wires,
- *B*6: complete module area shield by a top-level metal coating plane.

The first backend feature B1 makes the computational paths to the true and the false outputs indistinguishable.



3



Fig. 4. The SecLib AND function activates always the same number of gates and is guaranteed to be immune from early evaluation thanks to the <u>synchronizing</u> C-elements.

The second item B2 requires the gate to be somehow separable into two halves (refer to [20, appendix A]). Differential placement lessens the risks of unbalancedness due to variations from one location to another across the circuit's die. This helps reduce the disparities in power consumption, but most importantly, the constraint placement tends to make the routing (automatic, *i.e.* not constrained) similar for each gate. This pseudo-differential routing has the beneficial consequence that the load of each gate dual outputs is sensibly the same, so does the power consumption. The third item B3 depends on the second one: differential routing can only be achieved provided the placement is also differential. It ensures a perfectly parallel hence balanced routing. It is performed thanks to the "backend-duplication" method [20]. The fourth item $\mathcal{B}4$ depends in turn on the third one: dummy metal slots can be spread in a differential way only if the routing is differential too. We recall that dummy slots are non-functional pieces of metal scattered "randomly" for the layer to reach a minimal density. This is indeed specified by the design rules manual in order to guarantee the planarity after chemical-mechanical polishing during fabrication. A constant planarity guarantees that the differential wires keep the same thickness along their route. The fifth item B5 protects every dual pair of wires from cross-talk by placing a global (vss or vdd) wire between them. Usually, only the ground vss is used for shielding because it collects and drains all the parasitic currents without injecting the noise of the supply vdd into the integrated circuit's core. However, combining vss and vdd is an option worth considering when the power is not noisy because the shield wires can serve as a pervasive supplying network. They thus keep the voltage drops of the underneath logic cells as low as possible [21]. The sixth item $\mathcal{B}6$ is independent from the

others and consists in coating the SubBytes module with a top-level metal (M6) plane. This shield against electromagnetic analyses (EMA [22]) is not studied in this paper, because we focus exclusively on power analysis.

Thirteen SubBytes modules are designed, combining the various logic styles and implementation-level options. They are detailed in Tab. 1. In the sequel they are either referred to by their number or by their nickname, given in first and second columns respectively.

The unprotected implementations (modules (1) to (4)) do not benefit from any differential feature (B1 to B5), hence the "not applicable" (abbreviated "n/a") indications in the table.

Not surprisingly, secured implementations (modules (5) to (13)) suffer from a large overhead in terms of silicon area. Thus, the most compact architecture amongst the unprotected (namely (1), *aka* stdcell_gf) is selected as a reference. The performances of the thirteen modules are given in Tab. 2. This table clearly shows that the synthesizer tries hard to use as many cells as possible from the library for the straightforward LuT architecture (53 unique instances as for (2)), to the detriment of a global optimization (such as the smaller implementation (1), that uses only 22 unique instances).

2.2 Projected Security Level of DPL Versions of Sub-Bytes

The security level of WDDL and SecLib (with the same security features as wddl_4 and seclib_4) has already been studied in simulation in [21], and from experimental measurements done *in silico* in [23]. In contrast, this article explores a trade-off between security features and cost overhead. Thus, we investigate degraded (*i.e.* suboptimal) backend-level countermeasures with respect to WDDL and SecLib.

The expected security partial order is expressed by the " \prec " operator in Fig. 5.

- Note 1 Unprotected implementations have (*a priori*) a comparable level of security (no counter-measure.) Secured libraries, based upon either WDDL or SecLib, are expected to be more secure. Differential placement, differential routing and differential dummies are counter-measures that are built on top one of each other to increase the security provided by the cell library. EMA [22] shield is not expected to impact the protection against the DPA [1].
- Note 2 Everything being otherwise comparable (differential placement, routing and metal dummies), WDDL is expected to be weaker than SecLib [21], [23]. The reason is that at the "silicon"-level, SecLib is more balanced than WDDL. Further "metal"-level (*i.e.* interconnect) security features will enhance the security, but will most probably not make up for the "silicon"-level (*i.e.* logic) discrepancies.

2.3 Evaluation Methodology for the Simulations & the Experimental Measurements

There are two ways to evaluate the security level of the competing SubBytes modules.

- 1) *Static* evaluation considers the layout and tries to find dissymmetries in it. Statistics on the nets can be collected from the netlist. The dispersion of the characteristics across nets is considered a measurement of static unbalancedness. This evaluation strategy is called "design-time" because it does not require to have a silicon prototype at disposal. This is the approach carried on in Sec. **3**.
- 2) *Dynamic* evaluation considers the global behavior of each SubBytes module. Statistics are realized by trying all possible input configurations. The approach is thus either a simulation or real-world measurements on a silicon chip. The latter requires a device, and thus costs more because the whole fabrication process must be realized. However, it is also a more accurate than simulation because it places the evaluator in the same shoes as a potential attacker. Section **4** concentrates on this aspect of the evaluation.

2.4 Motivation for Combinatorial Gates Study

Most side-channel attacks are based on correlations with an intermediate variable. In both software and hardware implementations, a variable is stored in a register. From an attacker's standpoint, this is a great opportunity since the register activity is reproducible in time. This means that statistics will coherently correlate with either the register contents or its contents change.

It thus appears that combinatorial logic is seldom studied as an exploitable source of leakage. The main reason is probably that the relationship between the activity of this logic and the data it computes is far from being obvious: combinatorial gates evaluate at datadependent dates and might even produce non-functional transitions (called glitches) whose impact on the power dissipation is difficult to model. Moreover, while the number and exact location of the registers are quite simple to guess or reverse-engineer, the structure of combinatorial logic is much more difficult to figure out. So, paradoxically, although in some algorithms such as AES the combinatorial logic makes up about 80 % of the implementation area and power dissipation, it happens not to be the most frequent target for a side-channel attack.

One example where the analysis of a combinatorial net has been successful was the attack of a masked sbox, by Stefan Mangard *et al.* at CHES'05 [24]. Amongst the whole netlist, they identified the net that was the less dependent in the mask, and focused the attack on the variable it carried. However, apart from this very special situation, inner nets within combinatorial logic are not the most frequently encountered candidates for a sidechannel attack.

#	Nicknama	B1	B2	B3	<i>B</i> 4	<i>B</i> 5	<i>B</i> 6
#	INICKName	(Gate)	(Placement)	(Routing)	(Dummy)	(Shield)	(EMA)
(1)	stdcell_gf	n/a	n/a	n/a	n/a	n/a	no
(2)	stdcell_lut	n/a	n/a	n/a	n/a	n/a	no
(3)	stdcell_gb	n/a	n/a	n/a	n/a	n/a	no
(4)	rom	n/a	n/a	n/a	n/a	n/a	no
(5)	wddl_0	no	no	no	no	no	no
(6)	wddl_1	no	yes	no	no	no	no
(7)	wddl_2	no	yes	yes	yes	no	no
(8)	wddl_4	no	yes	yes	yes	yes	no
(9)	ewddl_4	yes	yes	yes	yes	yes	no
(10)	seclib_1	yes	yes	no	no	no	no
(11)	seclib_2	yes	yes	yes	yes	no	no
(12)	seclib_4	yes	yes	yes	yes	yes	no
(13)	seclib_4ema	yes	yes	yes	yes	yes	yes

TABLE 1 Security features $\mathcal{B}1$ to $\mathcal{B}6$ of the thirteen SubBytes modules.

$(1) = (2) = (3) = (4) \prec \begin{cases} (5) \prec (6) \prec (7) \prec (8) \prec (9) \\ (10) \prec (11) \prec (12) = (13) \end{cases}$	// See note 1.
$(6) \prec (10)$	// See note 2.
$(7) \prec (11)$	// See note 2.
$(8) \prec (9) \prec (12)$	// See note 2.

Fig. 5. Expected security order of the 13 modules embedded into the ASIC SubBytes.

TABLE 2 SubBytes blocks physical characteristics.

#	Area [μ m ²]	#! instances	# instances	Density
(1)	1767	22	144	98.6 %
(2)	4018	53	423	98.1 %
(3)	4 841	53	548	98.6 %
(4)	12830	n/a	n/a	n/a
(5)	8 9 8 1	2	342×2	95.8 %
(6)	10760	3	449×2	93.7 %
(7)	10844	3	449×2	93.0 %
(8)	16 097	3	449×2	62.5 %
(9)	16944	3	451×2	75.9 %
(10)	23 468	8	166	88.2 %
(11)	25 586	8	166	80.9 %
(12)	25 417	8	166	81.4 %
(13)	25 417	8	166	81.4 %

But in a circuit where the registers are perfectly protected, the only remaining sources of data dependency are the Boolean logic gates. This is the assumption we made in this article and the reason why we focused on combinatorial parts evaluation.

Figure. 6 illustrates this. It shows the voltage drop over a spy resistor monitoring the instant current consumed by an unprotected DES module during two clock cycles. The registers consume current at the clock rising and falling edges of the clock, whereas the combinatorial logic consumes current only after the registers have evaluated, typically a couple of nanoseconds after the rising edge of the clock. It seems easy to balance the registers, because there are not so many of them in an implementation. However, the combinatorial parts are numerous and complex. Both DPA and template attacks could target the variations in the combinatorial parts even if the registers are exactly balanced.

The sbox, for instance, offers room for concrete attack thanks to its mathematical properties. If we denote by Sthe functionality of the sbox, then a correlation attack basically consists in evaluating an auto-correlation of S (between the measurements and the guessed model). When the correct key is guessed, the auto-correlation is maximal, equal to $(S \otimes S)(0)$. Otherwise, the correlation yields $(S \otimes S)(\epsilon) \leq (S \otimes S)(0)$, where ϵ is the error on the key guess. In case the exclusive-or operation is used to mix the key with the datapath, $\epsilon \doteq k_{actual} \oplus k_{guessed}$. The contrast of an auto-correlation is all the higher as the sbox S is non-linear [25]–[28]. For cryptanalytic reasons, the sboxes are chosen as highly non-linear. In this respect, the abstract function of S, rather than its implementation, helps the attacker in her decision for the correct key: mathematical properties of S allow to discriminate efficiently the different key candidates.

3 STATIC EVALUATION OF THE SECURITY OF NINE SUBBYTES DUAL-RAIL MODULES

The security of the dual-rail modules is assessed statically based on the study of differential routing unbalancedness. In order to reach this goal, the resistance "R" and capacitance "C" for every net of the dualrail modules have been extracted after completion of all backend steps (*i.e.* placement, routing, dummies insertion). The extraction tool is rcOut, provided with Cadence software suite SOC/ENCOUNTER version 6.1. Without any surprise, we observe that all the resistances match pair-wise, because this quantity depends only on the geometry of the nets. In contrast, the capacitances are different from one regular net to its dual, since capacitances are cross-coupled with the neighboring nets, and



Fig. 6. Typical voltage trace of an unprotected DES module. This quantity is proportional to the instant current consumed by the chip. The sequential (positive & negative clock edges) and combinatorial currents are identified by arrows.

the neighborhood of each dual net differs. For each net, the relationship between the parameter "C" extracted from the layout and the instant current drawn by the driver when it switches is linear: $I = VDD \times C$, where VDD is the nominal power supply voltage measured relatively to the ground. Therefore, the ratios between true and false nets capacitances, denoted C_1 and C_0 , are computed. Any deviation from 1 is a dissymmetry. Indeed, the observable side-channel amplitude is $|I_1 - I_0| = VDD \times |C_1 - C_0|$, which is non-zero if and only if (iff) $C_1/C_0 \neq 1$. The logarithm of these quantities is plotted in Fig. 7 and 8 to allow for a duality-wise agnosticism:

- if the load of a true net is *ε* more than its false counterpart, then log(^{1+ε}/₁) ≈ [+ε] + O(ε), whereas
- if the unbalancedness is the opposite, $\log(\frac{1}{1+\varepsilon}) \approx \frac{-\varepsilon}{-\varepsilon} + \mathcal{O}(\varepsilon)$, which is "fair" w.r.t. the true/false duality: the penalty is exactly the opposite at first order, hence the same in absolute value.

Fig. 7 shows dispersion in the so-called "default mode", where capacitances are extracted only w.r.t. the ground (vss = 0 volt). In Fig. 8, cross-capacitances between nets are extracted too, in a π -model, also called "detailed mode". Thanks to the usage of the logarithmic scale, the dispersion profiles are centered around 0. One can notice that they are more or less scattered. The dispersion is ideal in the "default mode". The values for module (12), for instance, present the shape of a Dirac peak with the chosen quantification of 1 %. The "detailed mode" better captures the unbalancedness due to the neighborhood dissymmetry: the same module (12) does show an appreciable dispersion in C₁/C₀. The module (13) is not represented because its coupling with the ground is strictly equal to that of (12).



Fig. 7. Distribution of the extracted deviation from the perfectly balanced dual-rail pair (*default extraction mode.*)

In order to easily compare these dispersions, we compute the standard deviation, also abbreviated "std_dev" in the sequel. Those figures are given in Tab. 3. The module wddl_0, that is neither placed nor routed differentially, is — by far — the worst. For the other modules we need to notice that the nets capacitance is made up of two components:

- The *wire* capacitance C_{wire}. The differential routing, the dummies and the shield are supposed to reduce the dispersion in the wire capacitance.
- The *gate* input capacitance C_{gate}. The logic style is expected to impact this part of the capacitance dissymmetry: WDDL is not balanced in the gates inputs, because the dual gates are different, whereas eWDDL and SecLib logic are.

The average ratio between the wire capacitance and the total capacitance $C_{total} \doteq C_{wire} + C_{gate}$ is about 50 %, which means that dissymmetries in *wires* and *gate* inputs are to be fought with the same amount of efforts. Behind wddl_0, the WDDL modules wddl_{1,2,4} come next, due to the unbalancedness of the gates input capaci-



Percentage

Bi

Bin Percentage

Percentage

Bi

Bin Percentage

-0.4 -0.3 -0.2 -0.1

0.1

ò

log(C(true) / C(false))

0.2 0.3 0.4

Fig. 8. Distribution of the extracted deviation from the perfectly balanced dual-rail pair (*detailed extraction mode*.)

-0.4 -0.3 -0.2 -0.1

0.1 0.2 0.3 0.4

ò

log(C(true) / C(false))

tances. The dispersion of C_{gate} (of inputs A and B) in WDDL can be observed in histograms (6), (7) & (8) of Fig. 7. Apart from the inverter cells, their netlists are made up exclusively of AND and OR standard cells, that happen to have different input capacitances:

$$\begin{split} \log\left(\frac{C_{\text{and}\,\text{:A}}}{C_{\text{OR}\,\text{:A}}}\right) &= \log\left(\frac{1.63 \text{ pF}}{1.53 \text{ pF}}\right) = 0.063 \quad \approx \\ \log\left(\frac{C_{\text{and}\,\text{:B}}}{C_{\text{OR}\,\text{:B}}}\right) &= \log\left(\frac{1.43 \text{ pF}}{1.34 \text{ pF}}\right) = 0.065 \,. \end{split}$$

The other modules (eWDDL and SecLib) have the input gates balanced, and thus feature a smaller dispersion, because only the routing dissymmetry remains. For both WDDL and SecLib, it is clear that the back-end duplication does help (wddl_1 vs wddl_2 and seclib_1 vs seclib_2). Notice that in Fig. 7, SubBytes module seclib_1 (10) seams at first glance to be more dispersive than module seclib_2 (11). However, some rare net couples, with $|\log(C(true)/C(false))| \approx 0.2$, are very unbalanced in module seclib_2, which explains the results obtained in Fig. 7 default mode: std_dev(11) >

TABLE 3 SubBytes dual-rail blocks capacitive dispersion, computed from the statistics collected in Fig. 7 and 8.

#	Nickname	Std_dev	Std_dev	Cwire
π	IVICKIIaliic	(default mode)	(detailed mode)	C _{total}
(5)	wddl_0	68.58×10^{-3}	77.71×10^{-3}	55 %
(6)	wddl_1	2.73×10^{-3}	7.62×10^{-3}	65 %
(7)	wddl_2	1.21×10^{-3}	2.67×10^{-3}	68 %
(8)	wddl_4	0.94×10^{-3}	3.56×10^{-3}	70 %
(9)	ewddl_4	0.00×10^{-3}	2.44×10^{-3}	52 %
(10)	seclib_1	0.26×10^{-3}	4.95×10^{-3}	52 %
(11)	seclib_2	0.30×10^{-3}	0.81×10^{-3}	57 %
(12)	seclib_4	0.00×10^{-3}	0.62×10^{-3}	55 %

std_dev(10). Anyway, we recall that only the detailed mode provides a sufficiently accurate estimation of the nets average unbalancedness, hence of the layout static security.

A similar analysis as the one of Sec. 2.2 is carried out in detailed extraction mode, regarding only static evaluators for the routing. The expected level of security is depicted in Fig. 9. This figure shows that the security level of competing designs can be predicted using methods inspired from the two-dimensional chromatography. Notice that, compared to the overall security expectation (taking into account both the *logic gates* and their *interconnect*) discussed in Sec. 2.2, a new relationship is established: (9) is assumed to be of equal quality as (12), because:

- eWDDL and SecLib have balanced C_{gate} (security feature *B*1), and
- their interconnect is balanced with the same differential features *B*2 to *B*5.

If we compare this figure (Fig. 9) and the statistical results obtained in Tab. 3, it appears that the predictions are all valid, but for the effect of the pairs shielding. Indeed, we have predicted $(7) \prec (8)$ and $(11) \prec (12) =$ (9), but we have neither $std_dev(7) > std_dev(8)$ nor $std_dev(11) > std_dev(9)$. The reason might be that the SubBytes modules are too small for the metal lines to have the opportunity to be cross-coupled. The effect of the shield is merely to increase globally the routing length, and thus paradoxically to increase unequally the capacitive parasitics. This agrees with this intuitive observation on the larger modules (11) & (12): they do satisfy (11) \prec (12). Therefore, the two violations $(7) \not\prec (8)$ and $(11) \not\prec (9)$ can safely be considered artifacts that do not scale up for a complete algorithm protection, with many substitution boxes and a complex datapath.

4 EXPERIMENTAL COMPARISON OF THE THIR-TEEN SUBBYTES MODULES

4.1 Implementation into a Single-Chip Prototyping ASIC

The thirteen SubBytes modules studied in the previous section have been implemented in an ASIC. Their posi-

Fig. 9. Expected level of security partial order, based on the sole static criterion. The gray boxes indicate security relationships that are violated by the extraction in "detailed mode" statistics.



Fig. 10. The SubBytes circuit's layout.

tion on the floorplan is indicated in Fig. 10. There are only four functional I/O pads, common to all SubBytes modules: this way, they are all evaluated under the same experimental conditions. The I/O pads are:

- 1) clk: a global clock to synchronize the executions,
- 2) data_in: an input serial line,
- 3) data_out: an output serial line,
- enable: a selection signal deciding whether the circuits loads bits serially from the outside or transfer them in parallel into the substitution boxes.

To reduce noise, pads, core and SubBytes modules are powered from three different sources, all operating under a nominal 1.2 V voltage. The list of all the pads is given in Fig. 11.

The pictures of the ASIC and of its DIL48 (Dual In-Line package with 48 pins) cavity are given in Fig. 12.

4.2 SubBytes Programming Model

For the thirteen SubBytes modules to be operated in a unified way, they require a common programming paradigm. The chip architecture is based on a shiftregister for serial registers load and flush. Thanks to a two-stage pipeline at the input and one-stage pipeline at the output of the SubBytes blocks, the data are presented in front of all SubBytes modules. To suppress the power consumption of one specific module we freeze its inputs. For example, it can be always loaded the

Pad name	Nature	#	
VDD_SUBBYTES_1V2 vdd1V2		31	 ,
VSS_SUBBYTES_1V2	vss1V2	32	
VDD_CORE_1V2	vdd1V2	34	
VSS_CORE_1V2	vss1V2	35	
VSS_IOREF_CORE_1V2*	vss1V2	36	
VDD_PAD_3V3	vdd1V2	37	
VSS_PAD_3V3	vss1V2	38	
<pre> data_out</pre>	out	39	
Fonctional data_in	in	40	
I/O pads \ enable	in	41	
clk	in	42	Banored Banored Street 1 1991
	1		

*unconnected

Fig. 11. Datasheet on the SubBytes circuit's pads.



Fig. 12. The SubBytes circuit's monographs, as seen from an optical microscope.

same data, say 0×00 . As a result, the circuit simply comprises $3 \times n$ flip-flops (DFFs), where n is the total number of inputs of the combinatorial gates. Synthe-

sis and place-and-route were performed with Cadence tools. The synthesizer is bgx_shell V05.15-S095+1, used with option -BGX for improved results on highlevel behavioral VHDL [29] source code. The backend is realized by First Encounter V04.10-S415_1 and the interconnection routing by NanoRoute V04.10-S914. The chip was fabricated through the silicon broker CMP, that prepares the final layout and delegates the actual fabrication to STMicroelectronics' foundries.



Fig. 13. M6 pattern for EMA-shield using a metal-plane mirror.

TABLE 4

Number of distinct power measurements to realize on the SubBytes instances to fully characterize their signature.

Instance #	Transition count	Description
(1, 2, 3, 4)	$2^{2 \times 8} = 65536$	$\forall i, f: i \rightarrow f$
(5, 6, 7, 8, 9)	$4 \times 2^8 = 1024$	$\forall i: 0 \rightarrow i, i \rightarrow 1, 1 \rightarrow i, i \rightarrow 0$
(10, 11, 12, 13)	$2 \times 2^8 = 512$	$\forall i: 0 \rightarrow i, i \rightarrow 0$

The vertical routing direction has been chosen for M3 and M5 and the horizontal for M4 and M6.

As for the top-level metal M6 used to protect the circuit against EMA, it is actually not permitted to use it uniformly, due to stringent design rules about thermal stress. Instead, the so-called "metal-slot" design rules state that 9% of holes must be spread over the plane. The plane is thus a mesh obtained by the replication of the pattern depicted in Fig. 13.

4.3 Experimental Environment

4.3.1 Enumeration of Required Power Traces Measurements for a Comprehensive Evaluation

The power measurements come down to testing the combinatorial functions exercised with all the possible transitions. For unprotected instances, the transitions consist in changes from an initial value $i \in [0, 2^8]$ to a final value $f \in [0, 2^8]$. For secured instances, the protocol consists in transitions between a spacer and a valid state. The WDDL instances can be used both with the $\{00\}^8$ and the $\{11\}^8$ spacers, whereas only the null spacer $\{00\}^8$ is usable (unless making the gate insecure) for the SecLib-based instances. The number of measurements is summarized in Tab. 4.

4.3.2 Acquisition Platform

The acquisition is managed by a central personal computer, that dialogues with:

- the device under test (DUT), namely the SubBytes ASIC, driven by an ACME fox (http://www.acmesystems.it/) development board, and
- a digital oscilloscope, in charge of acquiring traces and storing them in a postGreSQL database server.



Fig. 14. Acquisition platform for SubBytes power traces.



Fig. 15. Control board for SubBytes (ASIC under test) power traces.

The acquisition architecture is depicted in Fig. 14. Two photographs of the *in-house* platform driving SubBytes are shown in Fig. 15.

4.4 Experimental Evaluation Metrics

4.4.1 Definition of *M*1: Maximum Standard Deviation over a Complete Trace

To compare the diverse implementations, the following metric M_1 is used:

- let $P(x \rightarrow y)(t)$ a power trace, acquired by the platform shown in Fig. 15, with *x* and *y* in [0x00, 0xff] and *t* the time in one clock period [0,*T*[,
- let *P*(*t*) be the average power trace over all the *x* (initial value) and *y* (final value),
- let $\sigma(t)$ be the traces standard deviation: $\sigma(t) \doteq \sqrt{\frac{1}{2^8 \times 2^8} \sum_{x,y} (P(x \to y)(t) P(t))^2}$ (notice that $\sigma(t)$ is also a trace: it has as many points t as the any original trace),
- let M₁ be the maximum value taken by σ(t) over all dates t.

 M_1 focuses on the highest bias on a clock period, which makes sense in cryptographic applications where any singularity is exploited. It also concurs with the "monovariate" bias one DPA will identify as the most leaking instant that correlates best with the leakage model.

Two other metrics, called M_2 and M_3 , are also considered, as variations.

*4.4.2 Definition of M*2: *Mean Standard Deviation over a Complete Trace*

 M_2 is the integral of the standard deviation over one clock period T, that is to say $\frac{1}{T} \int_{t=0}^{t=T} \sigma(t) dt$. The metric M_1 is meant to be more stringent than M_2 . However, M_2 grasps variations over a full execution of SubBytes. It closely relates to "multi-variate" analyses, such as *templates* with a principal component analysis [30] where the principal direction is a step function over the evaluation clock period.

4.4.3 Definition of M3: Standard Deviation of an Averaged Trace

 M_3 models a low-cost attack, where the attacker is supposed not to be equipped with a fast oscilloscope. The simulation of this scenario is obtained by first averaging the traces over one entire clock period, resulting in $P(x \rightarrow y) \doteq \frac{1}{T} \int_t P(x \rightarrow y)(t) dt$. The metric M_3 is defined as the standard deviation of $P(x \rightarrow y)$.

4.4.4 Comparison and Analysis of Metrics

Table 5 presents the three metrics calculated from these measurements. Due to a design error, the ROM (module number 4) is not fully functional (some addresses are unavailable). It is thus excluded from the table.

The single-ended modules (1), (2) & (3) are evaluated based on

- 1) one computation per clock cycle (65536 averages) and
- 2) one computation every other clock cycle, with a precharge to zero in-between (256 averages).

It clearly appears that the single-ended modules operated with a throughput of one computation per clock cycle are much less secure than any dual-rail logic (5), (6), \cdots , (13). The gain of the dual-rail logic over classic CMOS logic is thus undebatable.

However, it is interesting to notice that some classic logics are affected by the sole use of a precharge. If we

TABLE 5 Metrics for 12 implementations of SubBytes.

#	Nickname	10 ³ M1	10 ³ M2	10 ³ M3		
On 65536 traces ($\forall i, f \in [0 \times 00, 0 \times \text{ff}]^2 : i \rightarrow f$).						
(1)	stdcell_gf	76.174	21.162	17.651		
(2)	stdcell_lut	122.231	29.742	20.123		
(3)	stdcell_gb	228.515	23.677	6.290		
C	On 256 traces ($\forall f \in [0x00, 0xff] : 0x00 \rightarrow f$).					
(1)	stdcell_gf	83.903	21.828	19.488		
(2)	stdcell_lut	82.038	21.838	17.644		
(3)	stdcell_gb	25.087	8.257	5.661		
(5)	wddl_0	23.526	5.795	0.907		
(6)	wddl_1	29.558	6.084	0.846		
(7)	wddl_2	31.392	6.473	0.750		
(8)	wddl_4	32.367	6.329	0.800		
(9)	ewddl_4	40.250	8.050	1.054		
(10)	seclib_1	14.824	4.556	0.766		
(11)	seclib_2	13.978	4.889	0.837		
(12)	seclib_4	11.897	4.404	0.729		
(13)	seclib_4ema	15.593	4.681	0.806		

consider an interleaved precharge to 0×00 , Tab. 5 shows that:

- module (1), stdcell_gf, is not affected by the insertion of the spacer,
- module (2), stdcell_lut, becomes slightly more secure, whereas
- module (3), stdcell_gb, becomes drastically more secure.

It is remarkable that implementation (3) which is based on Guido Bertoni's architecture seems less vulnerable than the two other standard cell based implementations. This could be explained by the architecture. The architecture is in fact divided into three steps decode/permute/encode among which only the last encode step is input-dependent. It is based on a glitch-free 1-out-of-256 decomposition, that signs the same irrespective of the input, unless two consecutive inputs happen to be identical (in which rare case there is no dissipation at all). It demonstrates that a wellbalanced architecture can reduce information leakage at a very low-cost in term of silicon area. The throughput is divided by two, which is anyway an overhead that dual-rail logics also have to pay for.

In the sequel, we study the metrics for only the 256 transitions corresponding to all possible 8-bit inputs preceded by a precharge phase to zero. As for dual-rail logic, Table 5 also proves the importance of synchronization as SecLib seems more secure than WDDL (See Appendix A for detailed power trace figures). It is however difficult to evaluate the gain of the differential routing on top of the differential placement. The only noting that holds for sure is that differential routing associated to shielding of dual pairs improves the security: (10) is indeed more dispersive than (12). This applies to SecLib, but not to WDDL, where the dispersion due to logic is the overwhelming source of dispersion: for WDDL, the

more backend counter-measures, the larger the module, hence the more intense the information leakage.

One other remark is related to the metrics for ewddl_4. In fact, it was expected that the replacement of AND and OR gates by the Enhanced-AND and Enhanced-OR (Figure 3) improves the symmetry of the design. But according to the measurements, this has increased the dispersion. This makes us tend to believe that early evaluation is predominant against technological asymmetry. Indeed, eWDDL, as WDDL, is prone to early evaluation; as eWDDL is based on more complex gates than WDDL (MAJ instead of AND/OR), the propagation time through the logic is increased², which exacerbates the early evaluation because it is cumulative along the combinatorial paths.

4.4.5 Confrontation With an Information Theoretic Metric

The level of robustness of a counter-measure can also be evaluated by the quantity of information it leaks. This approach requires an approximation of the probability distribution function (PDF) for one trace to actually match the correct input used during the acquisition. In our setup, we have a close to perfect estimation of the leakage trace for every possible input. By design, the computation of the substitution box is not disturbed by other unrelated activity and the high averaging rate of the oscilloscope greatly improves the signal's vertical resolution. However, it can be interesting to extrapolate the information available from each SubBytes block when the measurements are noisy, as in operational situations. The noise can, for instance, model the activity of surrounding logic gates, which will happen in practice, since SubBytes is customarily embedded into a complete datapath with other substitution boxes. We thus introduce an artificial noise parameter σ . It is equal to the width of the PDFs, assumed to be Gaussians of identical variance σ^2 for any substitution box input.

Our evaluation is inspired from the one carried out by simulation on single logical gates [31]³. We replaced the simulations by the real measurements and the logic gates by a complete netlist of combinatorial gates making up the SubBytes instances. The dual-rail with precharge substitution boxes embedded in SubBytes correspond to the **Pre-Charged / not Masked Logic Styles** paragraph in Sec. 3.2 of [31]. Therefore, we compute the mutual information as per Eqn. (1), using notations of [31]:

$$I(S_g, \mathbf{L}_{S_g}^{q=2^8}) = \mathbf{H}(S_g) - \mathbf{H}(S_g | \mathbf{L}_{S_g}^{q=2^8}) = (1)$$

$$8 - \sum_{s_g=0 \times 0}^{s_g=0 \times 0} \Pr(s_g) \int_{\mathbf{l}} \Pr(\mathbf{l} | s_g) \log_2 \frac{\Pr(\mathbf{l} | s_g)}{\sum_s \Pr(\mathbf{l} | s)} \, \mathrm{d}\mathbf{l}.$$

We use for the input distribution $Pr(s_g)$ a uniform law over $[0 \times 00, 0 \times ff]$ and for $Pr(l|s_g)$ a multi-variate Gaussian distribution of mean the measurements and of covariance matrix a multiple of the identity of $]0, +\infty[^{T \times T}]$.

The integration over all the samples is simplified by a principal component analysis (PCA) of the curves. Thanks to the pre-processing described in [30], we managed to replace all the initial samples of the curves by one single sample. The number of significative components in the PCA validates the limitation to one single sample; this makes it possible to simplify Eqn. (1) from a multi- to a single-valued integral.

The result is plotted in Fig. 16. In this graph, the lowest curves are the most secure. It can be seen that the conclusions already drawn in Sec. 4.4.4 still hold. The single-ended logics disclose more input bits than WDDL, that in turn is less secure than SecLib. We continue to note that the single-rail architecture of Guido Bertoni et al. performs almost as good as WDDL. Also, it appears clear the SecLib has a serious security improvement over WDDL. We also confirm that the eWDDL style does not improve WDDL, but instead makes it worse, certainly due to an exacerbated early evaluation propagation. Finally, some behavior amongst the SecLib modules are difficult to interpret, like for instance seclib_2 that is less secure than the other SecLib modules, but for a narrow window of noise. It is nonetheless certain that SecLib with all the protections set (but without the M6-shield, namely seclib_4) is the most secure implementation. One final observation can be made: the $I(S_q, L_{S_q})$ curves for SecLib have a discontinuity when it is equal to 8 bits and the noise increases, whereas the behavior for WDDL, eWDDL and single-ended logics is continuous. This means that WDDL, eWDDL and singleended logics have homogeneously distributed biases. At the opposite, SecLib traces have very few discrepancies when the inputs change: the discontinuity is probably due to a very small number of particularities for some rare inputs. This analysis shows that, should a designer be able to identify those discrepancies, the security level of SecLib could be easily improved.

5 DESIGN-TIME SECURITY EVALUATION AND BACKEND-LEVEL COUNTER-MEASURES ANALYSIS

This section gathers the lessons learnt from the previous design-time (Sec. 3) and *in silico* (Sec. 4) evaluations. The efficiency of the logic styles and backend refinements is also discussed.

5.1 Reflections About High-Level Security Evaluation

High-level evaluations based on static analyses, such as [33] routing unbalancedness estimation, happen to be irrelevant. Indeed, experimental results show that for logics that do not synchronize the signals, the predominant source of unbalancedness is the relative arrival times of inputs. Depending on them and on the values of

^{2.} In STM HCMOS9GPLL library, the average propagation time through the unload unitary AND (*resp.* MAJ) gate is 81 ps (*resp.* 146 ps).

^{3.} This work has been extended recently on a four-bit datapath of PRESENT in [32].



Fig. 16. Mutual information leaked by the implementations of SubBytes using the 0×00 spacer for precharge, in the hypothesis of noise homoscedasticity over all the different inputs.

the inputs, the logic evaluates earlier or later. This early evaluation issue is thus a dynamic problem. It is several orders of magnitude more important than the dispersion of routing characteristics. A correct high-level security evaluation of non-synchronizing logics (such as AND-OR based logics) must thus resort to simulations or to techniques taking the timing behavior into account.

Notice that this remark does not apply to SecLib, since the very structure of this logic makes it possible to decouple the gates from their interconnection. Indeed, static (netlist-level) and dynamic (silicon-level) results agree.

The silicon-level measurements also revealed that amongst unprotected single-rail implementations of Sub-Bytes, some can be almost as secure as WDDL or SecLib. The logic in question is that of Guido Bertoni: as every execution implies a decoding, all inputs activate roughly the same number of gates. Put differently, all execution paths are almost indiscernible: this appears clearly on Fig. 1, where a typical execution path is highlighted. Whatever the input byte, the decoder sets only one bit amongst 256 to '1', that is driven to exactly 8/2 encoders (because SubBytes is balanced) all having the same structure. Therefore, even if this logic is larger than other unprotected descriptions, it remains smaller than WDDL and much smaller than SecLib circuits, for a comparable security level.

An other interesting point is about the M6-shielded SecLib instance. Eric Peeters already showed in the chapter 5 of his PhD thesis manuscript [34] that:

"Metallic shield must be tamper resistant as well, because when connecting a differential probe on it, we were able to observe a datadependent voltage. As a matter of fact, the metallic shield is turned into a very near-field electric probe." We observe that a metallic shield increases the dissymmetry of an underneath DPL design. A "self-induction" effect might be the cause of such an effect. But for sure, the conclusion is that the usefulness of a top-level metallic shield is far from being obvious.

5.2 Summary About Security-Cost Trade-Offs

The previous analyses have made clear that some *would-be* counter-measures actually both increase the implementation cost and degrade the security level. This is case of eWDDL and the top-level electromagnetic shield. Those two solutions must positively be proscribed.

We note for the time that a non-protected single-rail logic can be made more security simply by interleaving every computation by a precharge to a constant value, such as 0x0. The impact in terms of silicon area is negligible, but the throughput is divided by two. The other counter-measures, labeled B1 to B5, increase the security level. However, they are actually useful only if the logic is immune to early evaluation. SecLib is in the *silicon-domain* (as opposed to the *wire-domain*), which means that the area of the cells is limiting the density and not the congestions in the interconnect resources. Therefore, in the case of SecLib, The gain they convey by the accumulation of security features is visible in terms of security, and in the meantime also free in hardware, since B3 to B5 complexify the routing, which is not a critical resource.

5.3 Suitability of an Elementary Pattern Circuits for Security Evaluations

The backend-level improvements do not translate into an observable security increase as for WDDL, because we identified that the early evaluation is overwhelmingly the predominant dispersive feature. Nonetheless, we could have expected SecLib to disclose improvements with the backend design care. Paradoxically enough, it is not straightforward to appreciate the impact of backend features on SecLib dispersion. This might be due to the over-simplification of the design; if the SubBytes instances were not insulated (not from the substrate noise but from other noisy instances by a large on-chip spacing), they would be more coupled with extrinsic activity (referred to as "algorithmic noise" in the context of attacks against cryptoprocessors [2], [35]). In this case, we could observe that SubBytes instances with poor backend features would be more influenced by this coupling than full-featured SecLib SubBytes instances. Unfortunately, we cannot verify this hypothesis on the ASIC: do poorly routed and unshielded SecLib instances appear more secure than they really are because of an evaluation artifact?

6 CONCLUSIONS AND PERSPECTIVES

6.1 Conclusions

DPL styles are designed and used to counter-act DPA attacks by making the power consumption constant.

There are several DPL logics such as WDDL and SecLib, respectively based on standard cells and totally customized cells forcing signals synchronization. In this paper we compare these two logics by analyzing the power dispersion of a combinatorial block, the AES substitution box (SubBytes). Our analysis demonstrates that dual-rail logic implementations are indisputably more secured than single-rail logics. We find out that choosing a balanced architecture such as described by Guido Bertoni et al. combined with a precharge to zero does reduce the power dispersion impressively, thus increasing the security level against power analysis attacks. We also demonstrate that SecLib is less dispersive than WDDL, confirming experimentally that signals synchronization is important to avoid data-dependent early evaluation and precharge. The security benefits of second-order countermeasures, such as differential placement, routing, dummies and shield against cross-talk are observed on SecLib.

6.2 Perspectives

As static high-level security evaluations are not accurate enough, netlist temporal simulation must be used instead for pre-fabrication validation purposes. This approach has been initiated for instance in [36] with logic simulation (ideal transitions). To further model signals slopes, fast gate-level or transistor-level simulations are mandatory. Efforts in this direction have already been deployed, *e.g.* by Huiyun Li *et al.* [37] or by Giorgio Di Natale *et al.* [38].

We emit the hypothesis that results on SecLib instances of SubBytes were evaluated optimistically because of the absence of neighbour logic, and that the impact of coupling cannot be assessed. We suggest to consider FPGAs as prototyping platforms: FPGAs do not exactly behave SCA-wise as ASICs (even at constant technology); nevertheless they allow to better iterate and test more configurations. For instance, the SASEBO boards [39] with the EveSoC environment [40] can be such a commodity.

APPENDIX A TRACES SHOWING POWER DISPERSION FOR TWELVE IMPLEMENTATIONS OF SUBBYTES

Figures 17 and 18 show the power dispersion measured for the 256 possible inputs ($\forall f \in [0 \times 00, 0 \times f \pm], 0 \times 00 \rightarrow f$) respectively for standard cell logic, and dual-rail logic — WDDL *versus* SecLib.

The acquisition chain characteristics are listed below:

- The probe's bandwidth is 5 GHz;
- The sampling rate of the acquisition apparatus (Infiniium 54855A sold by Agilent) is 20 Gsample/s;
- The vertical caliber is 1 mV;
- The curves are averaged 256 times by the oscilloscope, leading to 12-bit vertical resolution;



Fig. 17. Power traces for 256 inputs with $0 \ge 0$ or $0 \ge 00$ precharge — comparison between standard cell logics and dual-rail logics.

The traces are displayed raw: no post-processing has been done to correct their shape. Compared to a cryptoprocessor's regular trace (such as the example given in Fig. 6), the average is non-zero after evaluation. This is due to the fact that the SubBytes modules, the power consumption of which is measured, are not electrically insulated from the rest of the SubBytes internal logic. Hence a cross-coupling between several parts of the silicon die, that induce a background noise. As the same programmation sequence is employed to test every SubBytes block, the cross-coupling effect is a constant phenomenon that merely adds up to the relevant measurements. Because it is the same irrespectively of the addressed SubBytes module, this "continuous component" can safely be ignored.

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Fig. 18. Power traces for 256 inputs with 0x00 precharge — comparison between WDDL and SecLib.

for deported ICs, such as SubBytes. We acknowledge interesting discussions with Guido Bertoni, Jean-Luc Danger and Yves Mathieu, as well as relevent suggestions of improvements from the anonymous reviewers.

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